

Spaces of directed paths as simplicial complexes

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Examples: **State spaces** and associated **path spaces** in
Higher Dimensional Automata (HDA)

Motivation: **from Concurrency Theory**

Simplest case: State spaces and path spaces related to **linear
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Tool: Cutting up path spaces into **contractible
subspaces**

Homotopy type of path space described by a **matrix poset
category** and realized by a **prosimplicial complex**

Algorithmics: Detecting **dead** and **alive** subcomplexes/matrices

Outlook: How to handle **general HDA** – with **directed loops**

Case: Directed loops on a punctured torus (joint with
K. Ziemiański, Warsaw)

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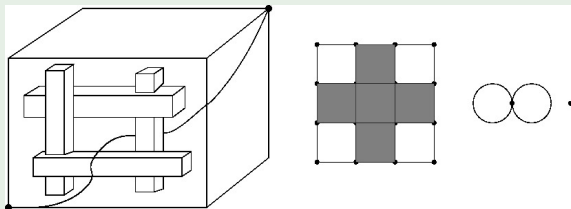
Outlook: How to handle **general HDA** – with **directed loops**

Case: Directed loops on a punctured torus (joint with
K. Ziemiański, Warsaw)

Intro: State space, directed paths and trace space

Problem: How are they related?

Example 1: State space and trace space for a semaphore HDA



State space:

a 3D cube $\mathbb{T}^3 \setminus F$
minus 4 box obstructions
pairwise connected

Path space model contained
in torus $(\partial\Delta^2)^2$ –
homotopy equivalent to a
wedge of two circles and a
point: $(S^1 \vee S^1) \sqcup *$

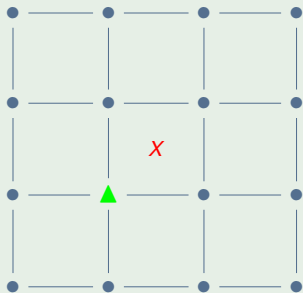
Analogy in standard algebraic topology

Relation between space X and loop space ΩX .

Intro: State space and trace space

with loops

Example 2: Punctured torus



State space: Punctured torus
 X and branch point \blacktriangle :

2D torus $\partial\Delta^2 \times \partial\Delta^2$ with a
rectangle $\Delta^1 \times \Delta^1$ removed

Path space model:

Discrete infinite space of
dimension 0 corresponding
to $\{r, u\}^*$.

Question: Path space for a
punctured torus in higher
dimensions?

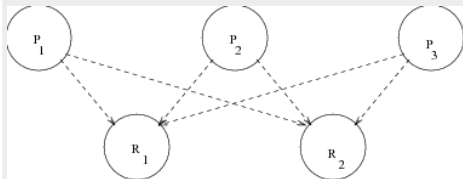
Joint work with
K. Ziemiański.

Motivation: Concurrency

Semaphores: A simple model for mutual exclusion

(Mutual) Exclusion

occurs, when n processes P_i compete for m resources R_j .



Only k processes can be served at any given time.

Semaphores: A simple model for (mutual) exclusion

Semantics: A processor has to lock a resource and to relinquish the lock later on!

Description/abstraction: $P_i : \dots PR_j \dots VR_j \dots$ (E.W. Dijkstra)

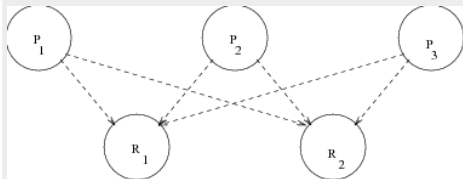
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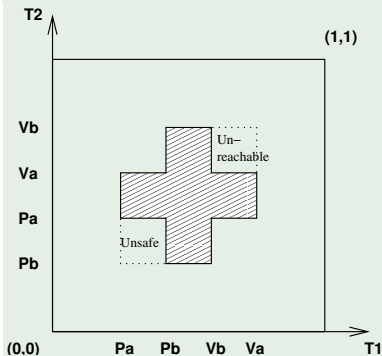
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A geometric model: Schedules in "progress graphs"

Semaphores: The Swiss flag example



PV-diagram from

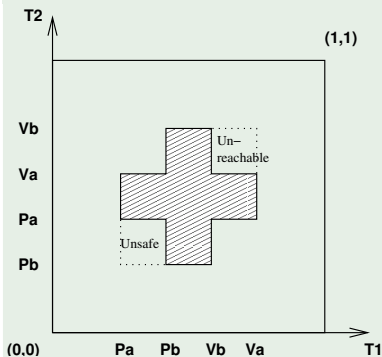
$P_1 : P_a P_b V_b V_a$

$P_2 : P_b P_a V_a V_b$

Executions are **directed paths** – since time flow is irreversible – avoiding a **forbidden region** (shaded). Dipaths that are **dihomotopic** (through a 1-parameter deformation consisting of dipaths) correspond to **equivalent** executions. **Deadlocks, unsafe and unreachable** regions may occur.

A geometric model: Schedules in "progress graphs"

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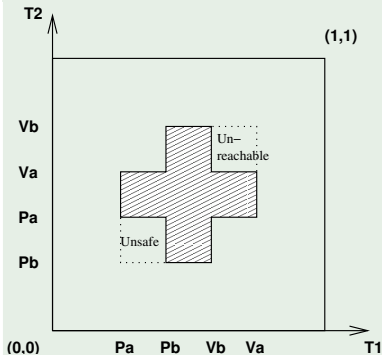
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Simple Higher Dimensional Automata

Semaphore models

The state space

A **linear PV**-program is modeled as the complement of a **forbidden region** F consisting of a number of **holes** in an n -cube:

- **Hole** = isothetic hyperrectangle
 $R^i =]a_1^i, b_1^i[\times \cdots \times]a_n^i, b_n^i[\subset I^n, 1 \leq i \leq l$:
with minimal vertex \mathbf{a}^i and maximal vertex \mathbf{b}^i .
- **State space** $X = \bar{I}^n \setminus F, F = \bigcup_{i=1}^l R^i$
 X inherits a partial order from \bar{I}^n .
d-paths are **order preserving**.

More general concurrent programs \rightsquigarrow HDA

Higher Dimensional Automata (HDA, V. Pratt; 1990):

- **Cubical complexes**: like simplicial complexes, with (partially ordered) hypercubes instead of simplices as building blocks.^a
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Spaces of d-paths/traces – up to dihomotopy

A general framework. Aims.

Definition

- X a **d-space**^a, $a, b \in X$.
 $p: \vec{I} \rightarrow X$ a **d-path** in X (continuous and “order-preserving”) from a to b .
- $\vec{P}(X)(a, b) = \{p: \vec{I} \rightarrow X \mid p(0) = a, p(b) = 1, p \text{ a d-path}\}$.
Trace space $\vec{T}(X)(a, b) = \vec{P}(X)(a, b)$ modulo increasing reparametrizations.
In most cases: $\vec{P}(X)(a, b) \simeq \vec{T}(X)(a, b)$.
- A **dihomotopy** in $\vec{P}(X)(a, b)$ is a map $H: \vec{I} \times I \rightarrow X$ such that $H_t \in \vec{P}(X)(a, b)$, $t \in I$; ie a path in $\vec{P}(X)(a, b)$.

^aMarco Grandis

Aim:

Description of the **homotopy type** of $\vec{P}(X)(a, b)$ as **explicit finite dimensional (prod-)simplicial complex**.

In particular: its **path components**, ie the dihomotopy classes of d-paths (executions).

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Tool: Subspaces of state space X and of $\vec{P}(X)(\mathbf{0}, \mathbf{1})$

$X = \vec{I}^n \setminus F, F = \bigcup_{i=1}^l R^i; R^i = [\mathbf{a}^i, \mathbf{b}^i]; \mathbf{0}, \mathbf{1}$ the two corners in I^n .

Definition

- 1 $X_{ij} = \{x \in X \mid x \leq \mathbf{b}^i \Rightarrow x_j \leq \mathbf{a}^i_j\}$ –
direction j restricted at hole i
- 2 M a binary $l \times n$ -matrix: $X_M = \bigcap_{m_{ij}=1} X_{ij}$ –
Which directions are restricted at which hole?

Examples: two holes in 2D

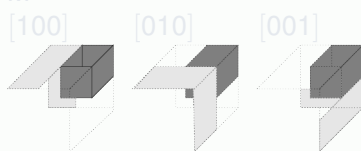
– one hole in 3D (dark)

$M =$

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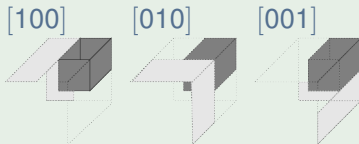
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Covers by contractible (or empty) subspaces

Bookkeeping with binary matrices

Binary matrix posets

$M_{l,n}$ poset (\leq) of **binary** $l \times n$ -matrices
 $M_{l,n}^{R,*}$ **no row** vector is the **zero** vector –
every hole obstructed in **at least one** direction

A cover by contractible subspaces

Theorem

1

$$\vec{P}(X)(\mathbf{0}, \mathbf{1}) = \bigcup_{M \in M_{l,n}^{R,*}} \vec{P}(X_M)(\mathbf{0}, \mathbf{1}).$$

- 2 Every path space $\vec{P}(X_M)(\mathbf{0}, \mathbf{1})$, $M \in M_{l,n}^{R,*}$, is
empty or contractible. Which is which? *Deadlocks!*
- 3 *New: Modification leads to fewer and smaller "patches"
with fewer intersections!*

Proof.

(2) Subspaces X_M , $M \in M_{l,n}^{R,*}$ are **closed under \vee** = l.u.b. \square

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A combinatorial model and its geometric realization

First examples

Combinatorics

poset category

$$\mathcal{C}(X)(\mathbf{0}, \mathbf{1}) \subseteq M_{l,n}^{R,*} \subseteq M_{l,n}$$

$M \in \mathcal{C}(X)(\mathbf{0}, \mathbf{1})$ "alive"

Topology:

prodsimplicial complex

$$\mathbf{T}(X)(\mathbf{0}, \mathbf{1}) \subseteq (\Delta^{n-1})^I$$

$$\Delta_M = \Delta_{m_1} \times \cdots \times \Delta_{m_l} \subseteq$$

$\mathbf{T}(X)(\mathbf{0}, \mathbf{1})$ – one simplex Δ_{m_i}

for every hole

$$\Leftrightarrow \vec{P}(X_M)(\mathbf{0}, \mathbf{1}) \neq \emptyset.$$

New: Modified definitions gives rise to a "smaller" simplicial complex, in particular of far lower dimension!

Examples of path spaces



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- $\mathbf{T}(X_1)(\mathbf{0}, \mathbf{1}) = (\partial\Delta^1)^2 = 4*$
- $\mathbf{T}(X_2)(\mathbf{0}, \mathbf{1}) = 3* - \text{deadlock!}$

$$\supset \mathcal{C}(X)(\mathbf{0}, \mathbf{1})$$

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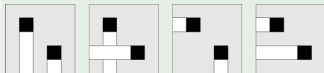
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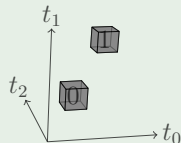
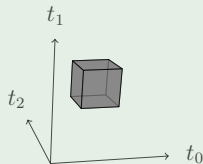
- $\mathbf{T}(X_2)(\mathbf{0}, \mathbf{1}) = 3* - \text{deadlock!}$

$$\supset \mathcal{C}(X)(\mathbf{0}, \mathbf{1})$$

State spaces, “alive” matrices and path spaces

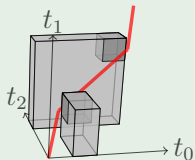
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- $\mathcal{C}(X)(\mathbf{0}, \mathbf{1}) = M_{1,n}^{R,*} \setminus \{[1, \dots, 1]\}$.
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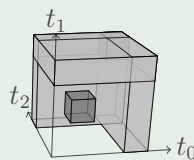
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alive



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dead(lock)

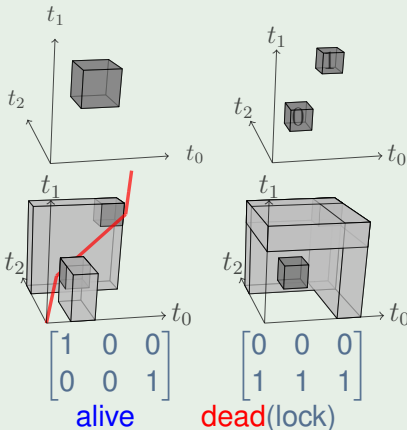
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Homotopy equivalence between path space $\vec{P}(X)(\mathbf{0}, \mathbf{1})$ and prodsimplicial complex $\mathbf{T}(X)(\mathbf{0}, \mathbf{1})$

Theorem (A variant of the nerve lemma)

$$\vec{P}(X)(\mathbf{0}, \mathbf{1}) \simeq \mathbf{T}(X)(\mathbf{0}, \mathbf{1}) \simeq \Delta\mathcal{C}(X)(\mathbf{0}, \mathbf{1}).$$

Proof.

- Functors $\mathcal{D}, \mathcal{E}, \mathcal{T} : \mathcal{C}(X)(\mathbf{0}, \mathbf{1})^{(\text{op})} \rightarrow \mathbf{Top}$:
 $\mathcal{D}(M) = \vec{P}(X_M)(\mathbf{0}, \mathbf{1})$,
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 $\text{hocolim } \mathcal{D} \simeq \text{hocolim } \mathcal{T}^* \simeq \text{hocolim } \mathcal{T} \simeq \text{hocolim } \mathcal{E}$.
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Homotopy equivalence between path space $\vec{P}(X)(\mathbf{0}, \mathbf{1})$ and prodsimplicial complex $\mathbf{T}(X)(\mathbf{0}, \mathbf{1})$

Theorem (A variant of the nerve lemma)

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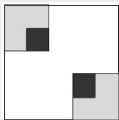
Detection of dead and alive matrices & subcomplexes

An algorithm starts with deadlocks and unsafe regions!

Allow less = forbid more!

Remove **extended** hyperrectangles R_j^i

$$:= [0, b_1^i] \times \cdots \times [0, b_{j-1}^i] \times [a_j^i, 1] \times [0, b_{j+1}^i] \times \cdots \times [0, b_n^i] \supset R^i.$$



$$X_M = X \setminus \bigcup_{m_{ij}=1} R_j^i.$$

New: Further extension of the R_j^i “covering” far more obstruction hyperrectangles.

Theorem

The following are equivalent:

- 1 $\vec{P}(X_M)(\mathbf{0}, \mathbf{1}) = \emptyset \Leftrightarrow M \notin \mathcal{C}(X)(\mathbf{0}, \mathbf{1})$.
- 2 There is a “dead” matrix $N \leq M$, $N \in M_{l,n}^{C,u}$ such that $\bigcap_{n_j=1} R_j^i \neq \emptyset$ – giving rise to a **deadlock** unavoidable from $\mathbf{0}$, i.e., $T(X_N)(\mathbf{0}, \mathbf{1}) = \emptyset$.
 $M_{l,n}^{C,u}$: every column a **unit** vector – every direction obstructed **once**.

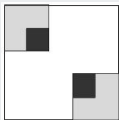
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From $\mathcal{C}(X)(\mathbf{0}, \mathbf{1})$ to properties of path space

Questions answered by homology calculations using $\mathbf{T}(X)(\mathbf{0}, \mathbf{1})$

Questions

- Is $\tilde{\mathcal{P}}(X)(\mathbf{0}, \mathbf{1})$ **path-connected**, i.e., are all (execution) d-paths dihomotopic (lead to the same result)?
- Determination of **path-components**?
- Are components **simply connected**?
Other topological properties?

Strategies – Attempts

- **Implementation** of $\mathbf{T}(X)(\mathbf{0}, \mathbf{1})$ in ALCOOL at CEALIX-lab.: Goubault, Haucourt, Mimram
- The prodsimplicial structure on $\mathcal{C}(X)(\mathbf{0}, \mathbf{1}) \leftrightarrow \mathbf{T}(X)(\mathbf{0}, \mathbf{1})$ leads to an associated **chain complex** of vector spaces over a field.
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For path-components alone, there are fast "discrete" methods, that also yield representatives in each path component (ALCOOL).

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Open problem: Huge complexes – complexity

Huge prodsimplicial complexes

l obstructions, n processors:

$T(X)(\mathbf{0}, \mathbf{1})$ is a subcomplex of $(\partial\Delta^{n-1})^l$:

potentially a **huge high-dimensional** complex.

Possible antidotes – **new**

- $\tilde{a}_j^i := \max\{-1, a_j^{i'} \mid a_j^{i'} < a_j^i, \mathbf{b}_j^i \leq \mathbf{b}_j^{i'}\}$.

Replace X_{ij} by

$$Y_{ij} := \{\mathbf{x} \in X \mid (\mathbf{x} \leq \mathbf{b}^i \Rightarrow x_j \leq a_j^i) \wedge (x_j \leq \tilde{a}_j^i \Rightarrow \mathbf{x}_j \leq \mathbf{b}_j^i)\}$$

Complements S_{ij} of Y_{ij} are unions of hyperrectangles.

- Vertices of s. cx.: Collections \mathcal{S} of S_{ij} such that
 - $F = \cup_i R^i \subset \cup_{S_{ij} \in \mathcal{S}} S_{ij}$;
 - Every $R^{i'}$ is contained in exactly one $S_{ij} \in \mathcal{S}$;
 - $\vec{P}(\vec{I}^n \setminus \cup_{S_{ij} \in \mathcal{S}} S_{ij})(\mathbf{0}, \mathbf{1}) \neq \emptyset$.

Recursive generation of vertices and s. cx.

- Observation: Two intersecting obstructions (in I^n) can at most contribute to the diagonal $\partial\Delta^{n-1} \hookrightarrow \partial\Delta^{n-1} \times \partial\Delta^{n-1}$.
Similar for a chain of intersecting obstructions.

Open problems: Variation of end points

Connection to MD persistence?

Components?!

- So far: $\vec{T}(X)(\mathbf{0}, \mathbf{1})$ - **fixed** end points.
- Now: Variation of $\vec{T}(X)(\mathbf{a}, \mathbf{b})$ of start and end point, giving rise to **filtrations**.
- At which **thresholds** do homotopy types change?
- How to cut up $X \times X$ into **components** so that the homotopy type of trace spaces with end point pair in a component is invariant?
- Birth and death of homology classes?
- Compare with **multidimensional persistence** (Carlsson, Zomorodian).

Case: d-paths on a punctured torus

with directed loops!

Punctured torus and n -space

n -torus $T^n = \mathbf{R}^n / \mathbf{Z}^n$.

forbidden region $F^n =$

$$([\frac{1}{4}, \frac{3}{4}]^n + \mathbf{Z}^n) / \mathbf{Z}^n \subset T^n.$$

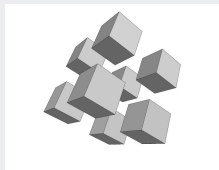
punctured torus $Q^n = T^n \setminus F^n \simeq T^n$
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(skel.)

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$$\mathbf{R}^n \setminus ([\frac{1}{4}, \frac{3}{4}]^n + \mathbf{Z}^n) \simeq \mathbf{R}^n_{(n-1)}$$

with d-paths via quotient map $\mathbf{R}^n \downarrow T^n$.



Aim: Describe the homotopy type of loops $\vec{P}(Q) = \vec{P}(Q)(0, 0)$

$\vec{P}(Q) \hookrightarrow \Omega Q(0, 0) \rightsquigarrow$ disjoint union $\vec{P}(Q) = \bigsqcup_{\mathbf{k} \geq 0} \vec{P}(\mathbf{k})(Q)$

with multiindex = multidegree $\mathbf{k} = (k_1, \dots, k_n) \in \mathbf{Z}_+^n, k_i \geq 0$.

$\vec{P}(\mathbf{k})(Q) \cong \vec{P}(\tilde{Q}^n)(0, \mathbf{k}) =: \mathbf{Z}(\mathbf{k})$.

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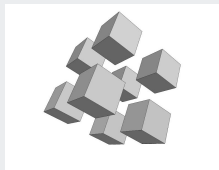
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Path spaces as colimits

Category $\mathcal{J}(n)$

Poset category of **proper non-empty subsets of $[1 : n]$** with inclusions as morphisms.

Via characteristic functions isomorphic to the category of non-identical bit sequences of length n : $\varepsilon = (\varepsilon_1, \dots, \varepsilon_n) \in \mathcal{J}(n)$.
 $B\mathcal{J}(n) \cong \partial\Delta^{n-1} \cong S^{n-2}$.

Definition

$$U_\varepsilon(\mathbf{k}) := \{\mathbf{x} \in \mathbf{R}^n \mid \varepsilon_j = 1 \Rightarrow x_j \leq k_j - 1 \text{ or } \exists i : x_i \geq k_i\}$$

$$Z_\varepsilon(\mathbf{k}) := \vec{P}(U_\varepsilon(\mathbf{k}))(\mathbf{0}, \mathbf{k}).$$

Lemma

$$Z_\varepsilon(\mathbf{k}) \simeq Z(\mathbf{k} - \varepsilon).$$

Theorem

$$Z(\mathbf{k}) = \operatorname{colim}_{\varepsilon \in \mathcal{J}(n)} Z_\varepsilon(\mathbf{k}) \simeq \operatorname{hocolim}_{\varepsilon \in \mathcal{J}(n)} Z_\varepsilon(\mathbf{k}) \simeq \operatorname{hocolim}_{\varepsilon \in \mathcal{J}(n)} Z(\mathbf{k} - \varepsilon).$$

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Inductive homotopy colimits

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By construction $\mathbf{k} \leq \mathbf{l} \Rightarrow X(\mathbf{k}) \subseteq X(\mathbf{l}); X(\mathbf{1}) \cong \partial\Delta^{n-1}$.

Inductive homotopy equivalences

$q(\mathbf{k}) : Z(\mathbf{k}) \rightarrow X(\mathbf{k})$:

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Homology and cohomology of space $Z(\mathbf{k})$ of d -paths

Definition

- $\mathbf{l} \ll \mathbf{m} \in \mathbf{Z}_+^n \Leftrightarrow l_j < m_j, 1 \leq j \leq n.$
- $\mathcal{O}^n = \{(\mathbf{l}, \mathbf{m}) \mid \mathbf{l} \ll \mathbf{m} \text{ or } \mathbf{m} \ll \mathbf{l}\} \subset \mathbf{Z}_+^n \times \mathbf{Z}_+^n$ – ord. pairs
- $\mathbf{B}(\mathbf{k}) := \mathbf{Z}_+^n(\leq \mathbf{k}) \times \mathbf{Z}_+^n(\leq \mathbf{k}) \setminus \mathcal{O}^n$ – unordered pairs
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graded polynomial ring

Theorem

For $n > 2$, $H^*(Z(\mathbf{k})) = \mathbf{Z}[\mathbf{Z}_+^n(\leq \mathbf{k})] / \mathcal{I}(\mathbf{k}).$

All generators have degree $n - 2$.

$H_*(Z(\mathbf{k})) \cong H^*(Z(\mathbf{k}))$ as abelian groups.

Proof

(Bousfield-Kan) spectral sequence argument, using projectivity of the functor $H_* : \mathcal{J}(n) \rightarrow \mathbf{Ab}_*$, $\mathbf{k} \mapsto H_*(Z(\mathbf{k})).$



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Interpretation via cube sequences

Betti numbers

Cube sequences

$$[\mathbf{a}^*] := [\mathbf{0} \ll \mathbf{a}^1 \ll \mathbf{a}^2 \ll \dots \ll \mathbf{a}^r = \mathbf{I}] \in A_{r(n-2)}^n(\mathbf{I})$$

of size $\mathbf{I} \in \mathbf{Z}_+^n$, length r and degree $r(n-2)$.

$A_*^n(\ast)$ the free abelian group generated by all cube sequences.

$$A_*^n(\leq \mathbf{k}) := \bigoplus_{\mathbf{I} \leq \mathbf{k}} A_*^n(\mathbf{I}).$$

$$H_{r(n-2)}(Z(\mathbf{k})) \cong A_{r(n-2)}^n(\leq \mathbf{k})$$

generated by cube sequences of length r and size $\leq \mathbf{k}$.

Betti numbers of $Z(\mathbf{k})$

Theorem

$$n = 2: \beta_0 = \binom{k_1+k_2}{k_1}; \beta_j = 0, j > 0;$$

$$n > 2: \beta_0 = 1, \beta_{i(n-2)} = \prod_{j=1}^n \binom{k_j}{i}, \beta_j = 0 \text{ else.}$$

Corollary

① Small homological dimension of $Z(\mathbf{k})$: $(\min_j k_j)(n-2)$.

② For $\mathbf{k} = (k, \dots, k)$, $\beta_i(Z(\mathbf{k})) = \beta_{k(n-2)-i}(Z(\mathbf{k}))$.

Interpretation via cube sequences

Betti numbers

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A slight generalization and an “explanation”

- The result can be stated and generalized for a complex $T_{(n-1)}^n \subset K \subset T^n$ – with universal cover $\mathbf{R}_{(n-1)}^n \subset \tilde{K} \subset \mathbf{R}^n$. Homology is generated by cube sequences $[\mathbf{a}^*] := [\mathbf{0} \ll \mathbf{a}^1 \ll \mathbf{a}^2 \ll \dots \ll \mathbf{a}^r = \mathbf{1}]$ such that the cells $[\mathbf{a}^i - \mathbf{1}, \mathbf{a}^i] \not\subset \tilde{K}$.
- A cube sequence \mathbf{a}^* is maximal if it is not properly contained in another cube sequence with same endpoints.
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- Hence $Y(\mathbf{k}) \simeq X(\mathbf{k}) \simeq Z(\mathbf{k})$.
- $\vec{P}(\mathbf{a}^*)(\mathbf{0}, \mathbf{k}) \subset \vec{P}(\tilde{K})(\mathbf{0}, \mathbf{k})$ induces an injection $H^*(\vec{P}(\mathbf{a}^*)(\mathbf{0}, \mathbf{k})) \cong H^*((S^{n-2})^r) \rightarrow H^*(\vec{P}(\tilde{K})(\mathbf{0}, \mathbf{k}))$.

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Conclusions and challenges

- From a (rather compact) state space model (**shape of data**) to a **finite dimensional trace** space model (**represent shape**).
- Calculations of **invariants** (Betti numbers) of path space possible for state spaces of a moderate size (**measuring shape**).
- Dimension of trace space model reflects **not** the **size** but the **complexity** of state space (number of obstructions, number of processors); still: **curse of dimensionality**.
- **Challenge**: General properties of path spaces for algorithms solving types of problems in a **distributed** manner?
Connections to the work of Herlihy and Rajsbaum protocol complex etc
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Want to know more?

Books

- Kozlov, [Combinatorial Algebraic Topology](#), Springer, 2008.
- Grandis, [Directed Algebraic Topology](#), Cambridge UP, 2009.

Articles

- MR, [Simplicial models for trace spaces](#), AGT 10 (2010), 1683 – 1714.
- MR, [Execution spaces for simple HDA](#), Appl. Alg. Eng. Comm. Comp. 23 (2012), 59 – 84.
- MR, [Simplicial models for trace spaces II: General Higher Dimensional Automata](#), AGT 12 (2012), 1741 – 1761.
- Fajstrup, [Trace spaces of directed tori with rectangular holes](#), Aalborg University Research Report R-2011-08.
- Fajstrup et al., [Trace Spaces: an efficient new technique for State-Space Reduction](#), Proceedings ESOP, Lect. Notes Comput. Sci. 7211 (2012), 274 – 294.
- MR & K. Ziemiański, [Homology of spaces of directed paths on Euclidean cubical complexes](#), J. Homotopy Relat. Struct. 8 (2013), to appear.
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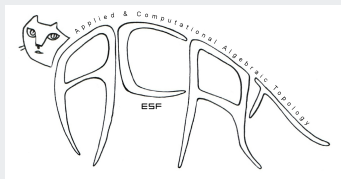
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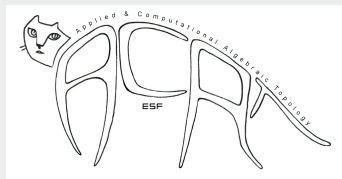
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