#### **Finite Frame Varieties: A Tutorial**

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#### **Quick Outline**

- 1. Definitions and Notation
- 2. Nonsingular points of FUNTF varieties
- 3. Parameterizations of FUNTF varieties
- 4. Connectivity and irreducibility of FUNTF Varieties

A collection of vectors  $\{f_i\}_{i=1}^N \subset \mathbb{R}^d$  is a **frame** if there are constants  $0 < A \leq B$  such that

$$A||x||^2 \le \sum_{i=1}^N |\langle x, f_i \rangle|^2 \le B||x||^2 \text{ for all } x \in \mathbb{R}^d.$$

# If we can take A = B, then we say that the frame is **tight**.

If we have that  $||f_i|| = 1$  for all *i* in the *N*-set [N], then we say that the frame is **unit-norm**.

## If a finite frame is both unit-norm and tight, we say that it is a **FUNTF**.

#### **Identification with Matrices**

In this talk, we identify an indexed finite frame with the matrix

$$F = \begin{pmatrix} \langle f_1, e_1 \rangle & \langle f_2, e_1 \rangle & \cdots & \langle f_N, e_1 \rangle \\ \langle f_1, e_2 \rangle & \langle f_2, e_2 \rangle & \cdots & \langle f_N, e_2 \rangle \\ \vdots & \vdots & \ddots & \vdots \\ \langle f_1, e_d \rangle, & \langle f_2, e_d \rangle & \cdots & \langle f_N, e_d \rangle \end{pmatrix} \in M_{d,N}$$

where  $\{e_i\}_{i \in [d]}$  is the standard orthonormal basis of  $\mathbb{R}^d$ .

#### **Identification with Matrices**

We also write  $F = [f_1 \ f_2 \cdots f_N]$ . Letting  $F^*$  denote the transpose of this matrix, we have the **frame operator** of F

$$FF^* = \sum_{i=1}^{N} f_i f_i^* = \sum_{i=1}^{N} \begin{pmatrix} \langle f_i, e_1 \rangle \langle f_i, e_1 \rangle & \langle f_i, e_1 \rangle \langle f_i, e_2 \rangle & \cdots & \langle f_i, e_1 \rangle \langle f_i, e_d \rangle \\ \langle f_i, e_2 \rangle \langle f_i, e_1 \rangle & \langle f_i, e_2 \rangle \langle f_i, e_2 \rangle & \cdots & \langle f_i, e_2 \rangle \langle f_i, e_d \rangle \\ \vdots & \vdots & \ddots & \vdots \\ \langle f_i, e_d \rangle \langle f_i, e_1 \rangle & \langle f_i, e_d \rangle \langle f_i, e_2 \rangle & \cdots & \langle f_i, e_d \rangle \langle f_i, e_d \rangle \end{pmatrix}$$

and the **Grammian** of F

$$F^*F = \begin{pmatrix} \langle f_1, f_1 \rangle & \langle f_1, f_2 \rangle & \cdots & \langle f_1, f_N \rangle \\ \langle f_2, f_1 \rangle & \langle f_2, f_2 \rangle & \cdots & \langle f_2, f_N \rangle \\ \vdots & \vdots & \ddots & \vdots \\ \langle f_N, f_1 \rangle & \langle f_N, f_2 \rangle & \cdots & \langle f_N, f_N \rangle \end{pmatrix}.$$

#### **Identification with Matrices**

**Lemma.** Let  $F \in M_{N \times d}$ .

• F is a tight frame with frame bounds  $A = B = \frac{N}{d}$  if and only if

$$F \in St_{d,N} = \{X \in M_{d,N} : XX^* = \frac{N}{d}I_d\}.$$

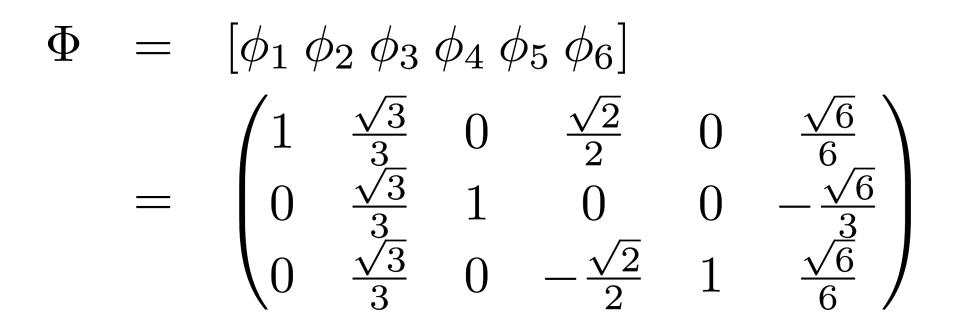
• F is unit-norm if and only if

 $F \in \mathbb{T}_{d,N} = \{ X = [x_1 \cdots x_N] \in M_{d,N} : ||x_i|| = 1 \text{ for all } i \in [N] \}$ 

• F is a FUNTF if and only if

$$F \in St_{d,N} \cap \mathbb{T}_{d,N} = \mathcal{F}_{d,N}.$$

#### **Our Standard Examples**



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# $\Xi = \begin{pmatrix} 1 & 0 & 0 & 1 & 0 & 0 \\ 0 & 1 & 0 & 0 & 1 & 0 \\ 0 & 0 & 1 & 0 & 0 & 1 \end{pmatrix}$

#### **Spaces of FUNTFs as Algebraic Varieties**

An algebraic variety is the zero set of a system of polynomials.

#### **Spaces of FUNTFs as Algebraic Varieties**

 $X = \begin{pmatrix} x_{11} & x_{12} & x_{13} & x_{14} & x_{15} & x_{16} \\ x_{21} & x_{22} & x_{23} & x_{24} & x_{25} & x_{26} \\ x_{31} & x_{32} & x_{33} & x_{34} & x_{35} & x_{36} \end{pmatrix}$  is in  $St_{3,6}$  if and only if

- $x_{11}^2 + x_{12}^2 + x_{13}^2 + x_{14}^2 + x_{15}^2 + x_{16}^2 = 2$
- $x_{21}^2 + x_{22}^2 + x_{23}^2 + x_{24}^2 + x_{25}^2 + x_{26}^2 = 2$
- $x_{31}^2 + x_{32}^2 + x_{33}^2 + x_{34}^2 + x_{35}^2 + x_{36}^2 = 2$
- $x_{11}x_{21} + x_{12}x_{22} + x_{13}x_{23} + x_{14}x_{24} + x_{15}x_{25} + x_{16}x_{26} = 0$
- $x_{11}x_{31} + x_{12}x_{32} + x_{13}x_{33} + x_{14}x_{34} + x_{15}x_{35} + x_{16}x_{36} = 0$
- $x_{21}x_{31} + x_{22}x_{32} + x_{23}x_{33} + x_{24}x_{34} + x_{25}x_{35} + x_{26}x_{36} = 0$

#### **Spaces of FUNTFs as Algebraic Varieties**

 $X = \begin{pmatrix} x_{11} & x_{12} & x_{13} & x_{14} & x_{15} & x_{16} \\ x_{21} & x_{22} & x_{23} & x_{24} & x_{25} & x_{26} \\ x_{31} & x_{32} & x_{33} & x_{34} & x_{35} & x_{36} \end{pmatrix}$  is in  $\mathbb{T}_{3,6}$  if and only if

$$\begin{array}{rcl} x_{11}^2 + x_{21}^2 + x_{31}^2 &=& 1 \\ x_{12}^2 + x_{22}^2 + x_{32}^2 &=& 1 \\ x_{13}^2 + x_{23}^2 + x_{33}^2 &=& 1 \\ x_{14}^2 + x_{24}^2 + x_{34}^2 &=& 1 \\ x_{15}^2 + x_{25}^2 + x_{35}^2 &=& 1 \\ x_{16}^2 + x_{26}^2 + x_{36}^2 &=& 1 \end{array}$$

#### **Basic Question: Local Structure**

#### What are the non-singular points of $\mathcal{F}_{d,N}$ ?

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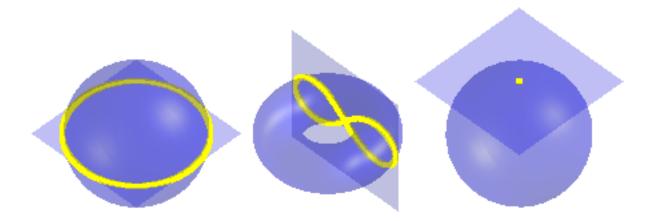
#### If $F \in \mathcal{F}_{d,N}$ is non-singular, then what is $T_F \mathcal{F}_{d,N}$ ?

#### **From Global to Local**

 $\mathcal{F}_{d,N} = \operatorname{St}_{d,N} \cap \mathbb{T}_{d,N}$ , so  $T_F \mathcal{F}_{d,N} = T_F \operatorname{St}_{d,N} \cap T_F \mathbb{T}_{d,N}$ ?

#### **Transversal Intersections**

**Definition.** Suppose  $\mathcal{M}$  and  $\mathcal{N}$  are two smooth manifolds embedded in the same smooth manifold  $\mathcal{K}$ , and suppose  $X \in \mathcal{M} \cap \mathcal{N}$ . Then we say  $\mathcal{M}$  and  $\mathcal{N}$  intersect transversally in  $\mathcal{K}$  at X if  $T_X \mathcal{K} = T_X \mathcal{M} + T_X \mathcal{N}$  (where + is the Minkowski sum).



#### **Transversal Intersections**

**Proposition.** Suppose  $\mathcal{M}$  and  $\mathcal{N}$  intersect transversally in  $\mathcal{K}$  at X. Then X is a nonsingular point of  $\mathcal{M} \cap \mathcal{N}$  and

 $T_X(\mathcal{M}\cap\mathcal{N})=T_X\mathcal{M}\cap T_X\mathcal{N}.$ 

#### **Characterizations of Singular Points**

We may therefore characterize the singular points of  $\mathcal{F}_{d,N}$  as the points where the intersection of  $\mathrm{St}_{d,N}$  and  $\mathbb{T}_{N,d}$  fails to be transversal.

One important point is that  $\operatorname{St}_{d,N}$  and  $\mathbb{T}_{d,N}$  are both contained in the Hilbert-Schmidt sphere

$$\mathcal{S}_{d,N} = \{ X \in M_{d,N} : \operatorname{trace}(XX^*) = N \}.$$

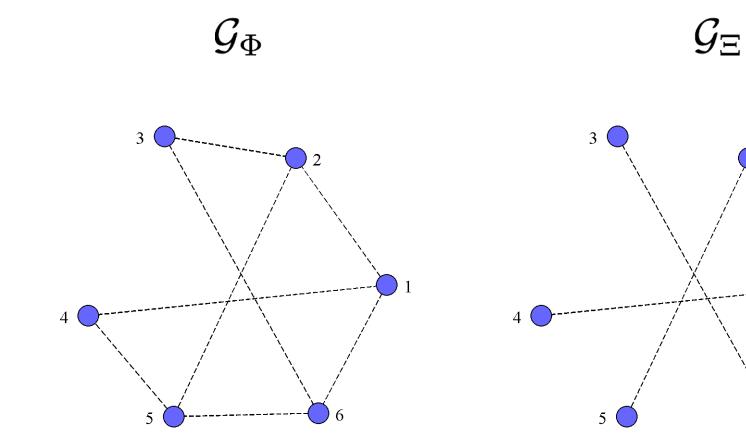
Transversality of the intersection is therefore relative to  $S_{d,N}$  instead of  $M_{d,N}$ .

#### **Correlation Networks**

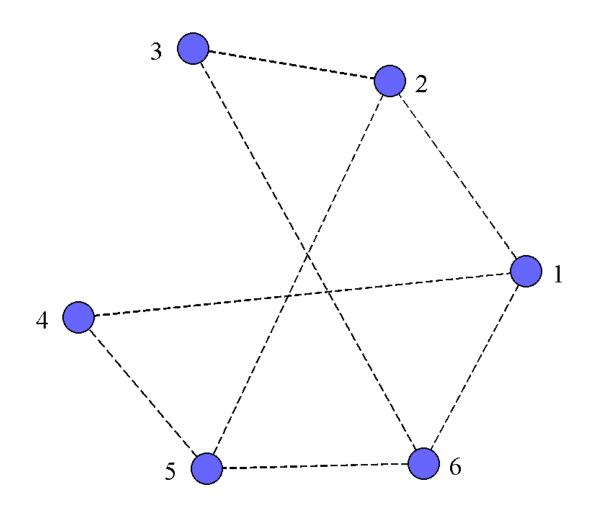
**Definition.** For a frame  $F = [f_1 f_2 \cdots f_N] \in M_{d,N}$ , the correlation network is the symmetric graph  $\mathcal{G}_F = (V, E)$  with vertices  $V = \{1, 2, \ldots, N\}$  and edge set

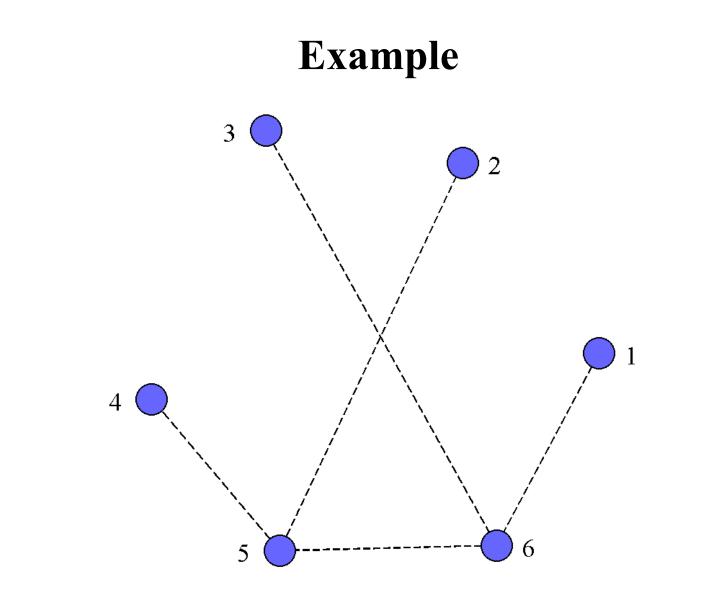
 $E = \{(i,j) : \langle f_i, f_j \rangle \neq 0\}.$ 

#### Example



#### Example





#### **Orthodecomposable Frames**

**Proposition.** The correlation network  $\mathcal{G}_F$  is connected if and only if F cannot be partitioned into two non-trivial subsets of matrices with orthogonal column spaces. If F does admit such a partition, we say that F is **orthodecomposable**.

#### Locally Transversal Intersections of Tori and Stiefel Manifolds

**Theorem.** Suppose  $N \ge d \ge 2$ . The manifolds  $\mathbb{T}_{d,N}$  and  $St_{d,N}$  intersect transversally in  $\mathcal{S}_{d,N}$  at  $F \in \mathcal{F}_{d,N}$  if and only if F is not orthodecomposable. Moreover, the local dimension of  $\mathcal{F}_{d,N}$  around such an F is given by

$$(d-1)N + \left(dN - \binom{d+1}{2}\right) - (dN-1) = (d-1)N - \binom{d+1}{2} + 1$$

#### When FUNTF Spaces are Manifolds

## **Proposition.** The variety $\mathcal{F}_{d,N}$ is a manifold if and only if N and d are relatively prime.

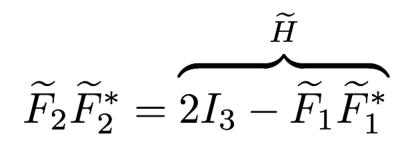
#### **Basic Question: Local Coordinates**

#### Can we write down local parameterizations of $\mathcal{F}_{d,N}$ ?

Idea: Freely articulate N - d vectors in the frame and then the remaining d vectors react to preserve tightness.

## $\widetilde{F} = [\widetilde{f_1} \ \widetilde{f_2} \ \widetilde{f_3} \ \widetilde{f_4} \ \widetilde{f_5} \ \widetilde{f_6}] = [\widetilde{F_1} \ \widetilde{F_2}]$

### $\widetilde{F}\widetilde{F}^* = \widetilde{F}_1\widetilde{F}_1^* + \widetilde{F}_2\widetilde{F}_2^* = 2I_3$



 $\widetilde{F}_2 \widetilde{F}_2^* \widetilde{H}^{-1} = I_3$ 

 $\widetilde{F}_2^* \widetilde{H}^{-1} = \widetilde{F}_2^{-1}$ 

 $\widetilde{F}_2^* \widetilde{H}^{-1} \widetilde{F}_2 = I_3$ 

 $\widetilde{f}_4^* H^{-1} \widetilde{f}_4 = 1$  $\widetilde{f}_4^* \widetilde{f}_4 = 1$ 

#### **FUNTF Equations are Locally Solvable**

$$f_4^* (2I_4 - F_1 F_1^*)^{-1} g = 0$$
  
$$f_4^* g = 0$$
  
$$\Rightarrow \widetilde{f}_4^* g = \theta$$

#### **FUNTF Equations are Locally Solvable**

$$\widetilde{f}_{4}^{*}\widetilde{H}^{-1}\widetilde{f}_{4} = 1$$

$$\widetilde{f}_{4}^{*}\widetilde{f}_{4} = 1$$

$$\widetilde{f}_{4}^{*}g = \theta$$

$$\widetilde{f}_{4} = xH^{-1}f_{4} + yf_{4} + \theta g$$

# Solving a System of Two Quadratics and a Linear Equation

$$(f_4^* H^{-1} \widetilde{H}^{-1} H^{-1} f_4) x^2 + 2f_4^* H^{-1} \widetilde{H}^{-1} (yf_4 + \theta g) x + (yf_4 + \theta g)^* \widetilde{H}^{-1} (yf_4 + \theta g) - 1 = 0 (f_4^* H^{-2} f_4) x^2 + 2yf_4^* H^{-1} f_4 x + y^2 + \theta^2 - 1 = 0$$

## Solving a System of Two Quadratics and a Linear Equation

**Proposition.** The system

$$\alpha_2 x^2 + \alpha_1 x + \alpha_0 = 0$$
  
$$\beta_2 x^2 + \beta_1 x + \beta_0 = 0$$

where  $\alpha_2$  and  $\beta_2$  are non-zero admits a solution if and only if the Bézout determinant vanishes:

$$(\alpha_2\beta_1 - \alpha_1\beta_2)(\alpha_1\beta_0 - \alpha_0\beta_1) - (\alpha_2\beta_0 - \alpha_0\beta_2)^2 = 0.$$

# Solving a System of Two Quadratics and a Linear Equation

This yields a quartic in the variable y, which has an explicit, but complicated solution.

## **Eigensteps: Cleaner Local Coordinates**

Let  $\widetilde{\lambda}: M_{d,d} \to \mathbb{R}^d$  be such that

$$\widetilde{\lambda}(X) = \begin{pmatrix} \lambda_1(X) \\ \lambda_2(X) \\ \vdots \\ \lambda_d(X) \end{pmatrix}$$

where  $\{\lambda_i(X)\}_{i=1}^d$  are the eigenvalues of X counting multiplicity, and put in non-increasing order.

#### **Eigensteps: Cleaner Local Coordinates**

Define the **eigensteps map**,  $\lambda : M_{d,N} \to M_{d,N}$ , by

$$\lambda(X) = [\widetilde{\lambda}(X_1 X_1^*) \ \widetilde{\lambda}(X_2 X_2^*) \cdots \widetilde{\lambda}(X X^*)]$$

where  $X_n = [x_1 \ x_2 \cdots x_n]$  for all n and  $X = X_N$ 

## **Eigensteps: Necessary Conditions**

• Trace condition:

$$\sum_{i=1}^{d} \lambda_i^{(n+1)} = \|x_{n+1}\|^2 + \sum_{i=1}^{d} \lambda_i^{(n+1)}$$

• Interlacing inequalties:

$$\lambda_1^{(n+1)} \ge \lambda_1^{(n)} \ge \lambda_2^{(n+1)} \ge \dots \ge \lambda_d^{(n+1)} \ge \lambda_d^{(n)}$$

#### **Eigensteps: Also Sufficient**

**Lemma.**  $\Delta_{d,N} = \lambda(\mathcal{F}_{d,N})$  is the polytope determined by the interlacing inequalities and trace conditions.

## Example

$$\lambda(\Phi) = \begin{pmatrix} 1 & (3+\sqrt{3})/3 & (3+\sqrt{6})/3 & 2 & 2 & 2\\ 0 & (3-\sqrt{3})/3 & 1 & (6+\sqrt{6})/6 & 2 & 2\\ 0 & 0 & (3-\sqrt{6})/3 & (6-\sqrt{6})/6 & 1 & 2 \end{pmatrix}$$

# **Lifting Eigensteps**

**Proposition.** Let  $\lambda(F) \in \Delta_{3,6}^{\circ}$ , where  $F \in \mathcal{F}_{3,6}$  and  $\Delta_{3,6}^{\circ}$  denotes the interior of  $\Delta_{3,6}$ . Then there are sequences of vector-valued functions  $v_k : \Delta_{3,6}^{\circ} \to \mathbb{R}^3$  and  $w_k : \Delta_{3,6}^{\circ} \to \mathbb{R}^3$ , a sequence of matrix-valued functions  $W_k : \Delta_{3,6}^{\circ} \to M_{3,3}$ , and sequences of orthogonal matrices  $V_k$ ,  $P_k$ , and  $Q_k$  so that when we define the sequences

$$U_{1}(U, \mu) = U$$
  

$$\phi_{1}(U, \mu) = U_{1}(U, \mu)e_{1}$$
  

$$\phi_{k+1}(U, \mu) = U_{k}(U, \mu)V_{k}P_{k}^{*}v_{k}(\mu)$$
  

$$U_{k+1}(U, \mu) = U_{k}(U, \mu)V_{k}P_{k}^{*}W_{k}(\mu)Q_{k}$$

for k = 1, 2, 3, 4, 5 and all  $(U, \mu) \in \mathcal{O}(3) \times \Delta_{3,6}^{\circ}$ , then

 $\Phi(U,\mu) = [\phi_1(U,\mu) \phi_2(U,\mu) \phi_3(U,\mu) \phi_4(U,\mu) \phi_5(U,\mu) \phi_6(U,\mu)] \in \mathcal{F}_{d,N}$ 

satisfies  $\lambda(\Phi(U,\mu)) = \mu$  and  $\Phi(\mathcal{Q}(F),\lambda(F)) = F$  where  $\mathcal{Q}(F)$  is Q from the QR decomposition of the first 3 columns of F.

#### **Example: Coordinates from Eigensteps**

k	$v_k(\lambda)$	$w_k(\lambda)$	$W_k(\lambda)$
1	$\begin{pmatrix} \sqrt{-\frac{(\lambda_{11}-\lambda_{12})(\lambda_{11}-\lambda_{22})(\lambda_{11}-\lambda_{32})}{(\lambda_{11}-\lambda_{21})(\lambda_{11}-\lambda_{31})}} \\ \sqrt{-\frac{(\lambda_{21}-\lambda_{12})(\lambda_{21}-\lambda_{22})}{(\lambda_{21}-\lambda_{11})}} \\ 0 \end{pmatrix}$	$\begin{pmatrix} \sqrt{\frac{(\lambda_{12}-\lambda_{11})(\lambda_{12}-\lambda_{21})(\lambda_{12}-\lambda_{31})}{(\lambda_{12}-\lambda_{22})(\lambda_{12}-\lambda_{32})}}\\ \sqrt{\frac{(\lambda_{22}-\lambda_{11})(\lambda_{22}-\lambda_{21})}{(\lambda_{21}-\lambda_{11})}}\\ 0 \end{pmatrix}$	$\begin{pmatrix} \frac{v_{11}(\lambda)w_{11}(\lambda)}{\lambda_{12}-\lambda_{11}} & \frac{v_{11}(\lambda)w_{21}(\lambda)}{\lambda_{22}-\lambda_{11}} & 0\\ \frac{v_{21}(\lambda)w_{11}(\lambda)}{\lambda_{12}-\lambda_{21}} & \frac{v_{21}(\lambda)w_{21}(\lambda)}{\lambda_{22}-\lambda_{21}} & 0\\ 0 & 0 & 1 \end{pmatrix}$
2	$\begin{pmatrix} \sqrt{-\frac{(\lambda_{12}-\lambda_{13})(\lambda_{12}-\lambda_{23})(\lambda_{12}-\lambda_{33})}{(\lambda_{12}-\lambda_{22})(\lambda_{12}-\lambda_{32})}} \\ \sqrt{-\frac{(\lambda_{22}-\lambda_{13})(\lambda_{22}-\lambda_{23})(\lambda_{22}-\lambda_{33})}{(\lambda_{22}-\lambda_{12})(\lambda_{22}-\lambda_{32})}} \\ \sqrt{-\frac{(\lambda_{32}-\lambda_{13})(\lambda_{32}-\lambda_{23})(\lambda_{32}-\lambda_{33})}{(\lambda_{32}-\lambda_{12})(\lambda_{32}-\lambda_{22})}} \end{pmatrix}$	$\begin{pmatrix} \sqrt{\frac{(\lambda_{13} - \lambda_{12})(\lambda_{13} - \lambda_{22})(\lambda_{13} - \lambda_{32})}{(\lambda_{13} - \lambda_{23})(\lambda_{13} - \lambda_{33})}} \\ \sqrt{\frac{(\lambda_{23} - \lambda_{12})(\lambda_{23} - \lambda_{22})(\lambda_{23} - \lambda_{32})}{(\lambda_{23} - \lambda_{13})(\lambda_{23} - \lambda_{33})}} \\ \sqrt{\frac{(\lambda_{33} - \lambda_{12})(\lambda_{33} - \lambda_{22})(\lambda_{33} - \lambda_{32})}{(\lambda_{33} - \lambda_{13})(\lambda_{33} - \lambda_{23})}} \end{pmatrix}$	$\begin{pmatrix} \frac{v_{12}(\lambda)w_{12}(\lambda)}{\lambda_{13}-\lambda_{12}} & \frac{v_{12}(\lambda)w_{22}(\lambda)}{\lambda_{23}-\lambda_{12}} & \frac{v_{12}(\lambda)w_{32}(\lambda)}{\lambda_{33}-\lambda_{12}} \\ \frac{v_{22}(\lambda)w_{12}(\lambda)}{\lambda_{13}-\lambda_{22}} & \frac{v_{22}(\lambda)w_{22}(\lambda)}{\lambda_{23}-\lambda_{22}} & \frac{v_{22}(\lambda)w_{32}(\lambda)}{\lambda_{33}-\lambda_{22}} \\ \frac{v_{32}(\lambda)w_{12}(\lambda)}{\lambda_{13}-\lambda_{32}} & \frac{v_{32}(\lambda)w_{22}(\lambda)}{\lambda_{23}-\lambda_{32}} & \frac{v_{32}(\lambda)w_{32}(\lambda)}{\lambda_{33}-\lambda_{32}} \end{pmatrix}$
3	$\begin{pmatrix} \sqrt{-\frac{(\lambda_{13}-\lambda_{14})(\lambda_{13}-\lambda_{24})(\lambda_{13}-\lambda_{34})}{(\lambda_{13}-\lambda_{23})(\lambda_{13}-\lambda_{33})}} \\ \sqrt{-\frac{(\lambda_{23}-\lambda_{14})(\lambda_{23}-\lambda_{24})(\lambda_{23}-\lambda_{34})}{(\lambda_{23}-\lambda_{13})(\lambda_{23}-\lambda_{33})}} \\ \sqrt{-\frac{(\lambda_{33}-\lambda_{14})(\lambda_{33}-\lambda_{24})(\lambda_{33}-\lambda_{34})}{(\lambda_{33}-\lambda_{13})(\lambda_{33}-\lambda_{23})}} \end{pmatrix}$	$\begin{pmatrix} \sqrt{\frac{(\lambda_{14} - \lambda_{13})(\lambda_{14} - \lambda_{23})(\lambda_{14} - \lambda_{33})}{(\lambda_{14} - \lambda_{24})(\lambda_{14} - \lambda_{34})}} \\ \sqrt{\frac{(\lambda_{24} - \lambda_{13})(\lambda_{24} - \lambda_{23})(\lambda_{24} - \lambda_{33})}{(\lambda_{24} - \lambda_{14})(\lambda_{24} - \lambda_{34})}} \\ \sqrt{\frac{(\lambda_{34} - \lambda_{13})(\lambda_{34} - \lambda_{23})(\lambda_{34} - \lambda_{33})}{(\lambda_{34} - \lambda_{14})(\lambda_{34} - \lambda_{24})}} \end{pmatrix}$	$\begin{pmatrix} \frac{v_{13}(\lambda)w_{13}(\lambda)}{\lambda_{14}-\lambda_{13}} & \frac{v_{13}(\lambda)w_{23}(\lambda)}{\lambda_{24}-\lambda_{13}} & \frac{v_{13}(\lambda)w_{33}(\lambda)}{\lambda_{34}-\lambda_{13}} \\ \frac{v_{23}(\lambda)w_{13}(\lambda)}{\lambda_{14}-\lambda_{23}} & \frac{v_{23}(\lambda)w_{23}(\lambda)}{\lambda_{24}-\lambda_{23}} & \frac{v_{23}(\lambda)w_{33}(\lambda)}{\lambda_{34}-\lambda_{23}} \\ \frac{v_{33}(\lambda)w_{13}(\lambda)}{\lambda_{14}-\lambda_{33}} & \frac{v_{33}(\lambda)w_{23}(\lambda)}{\lambda_{24}-\lambda_{33}} & \frac{v_{33}(\lambda)w_{33}(\lambda)}{\lambda_{34}-\lambda_{33}} \end{pmatrix}$
4	$\begin{pmatrix} \sqrt{-\frac{(\lambda_{24}-\lambda_{15})(\lambda_{24}-\lambda_{25})(\lambda_{24}-\lambda_{35})}{(\lambda_{24}-\lambda_{14})(\lambda_{24}-\lambda_{34})}} \\ \sqrt{-\frac{(\lambda_{34}-\lambda_{15})(\lambda_{34}-\lambda_{25})(\lambda_{34}-\lambda_{35})}{(\lambda_{34}-\lambda_{14})(\lambda_{34}-\lambda_{24})}} \\ 0 \end{pmatrix}$	$\begin{pmatrix} \sqrt{\frac{(\lambda_{25}-\lambda_{24})(\lambda_{25}-\lambda_{34})}{(\lambda_{25}-\lambda_{35})}} \\ \sqrt{\frac{(\lambda_{35}-\lambda_{14})(\lambda_{35}-\lambda_{24})(\lambda_{35}-\lambda_{34})}{(\lambda_{35}-\lambda_{15})(\lambda_{35}-\lambda_{25})}} \\ 0 \end{pmatrix}$	$\begin{pmatrix} \frac{v_{14}(\lambda)w_{14}(\lambda)}{\lambda_{25}-\lambda_{24}} & \frac{v_{14}(\lambda)w_{24}(\lambda)}{\lambda_{35}-\lambda_{24}} & 0\\ \frac{v_{24}(\lambda)w_{14}(\lambda)}{\lambda_{25}-\lambda_{34}} & \frac{v_{24}(\lambda)w_{24}(\lambda)}{\lambda_{35}-\lambda_{34}} & 0\\ 0 & 0 & 1 \end{pmatrix}$
5	$\begin{pmatrix} 1\\0\\0 \end{pmatrix}$	$\begin{pmatrix} 1\\0\\0 \end{pmatrix}$	$\begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$

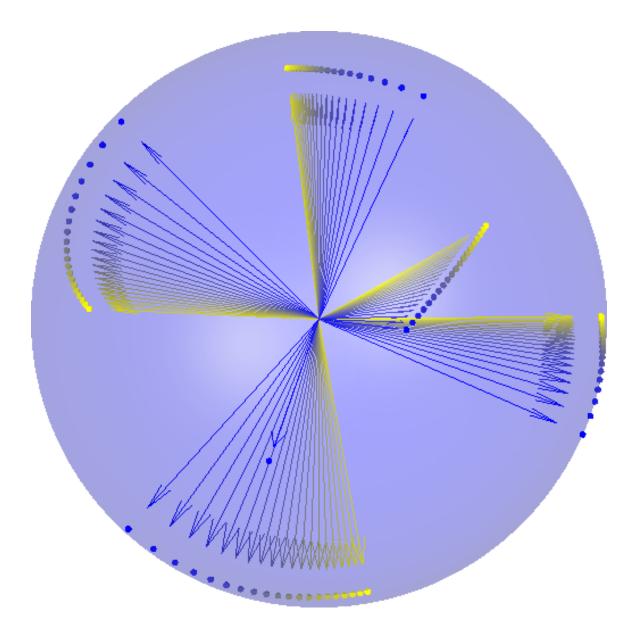
#### **Example: Coordinates from Eigensteps**

$$\mathcal{Q}(\Phi) = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \sqrt{2}/2 & \sqrt{2}/2 \\ 0 & \sqrt{2}/2 & -\sqrt{2}/2 \end{pmatrix},$$

# **Example: Coordinates from Eigensteps**

k	$V_k$	$P_k$	$Q_k$
1	$\begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$	$\begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$	$ \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} $
2	$\begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$	$\begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$	$\begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$
3	$\begin{pmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$	$\begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$	$\begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$
4	$-egin{pmatrix} 1 & 0 & 0 \ 0 & 1 & 0 \ 0 & 0 & 1 \end{pmatrix}$	$\begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \end{pmatrix}$	$\begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}$
5	$\begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$	$\begin{pmatrix} 0 & 0 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{pmatrix}$	$\begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$

# **Eigenstep Trajectories**



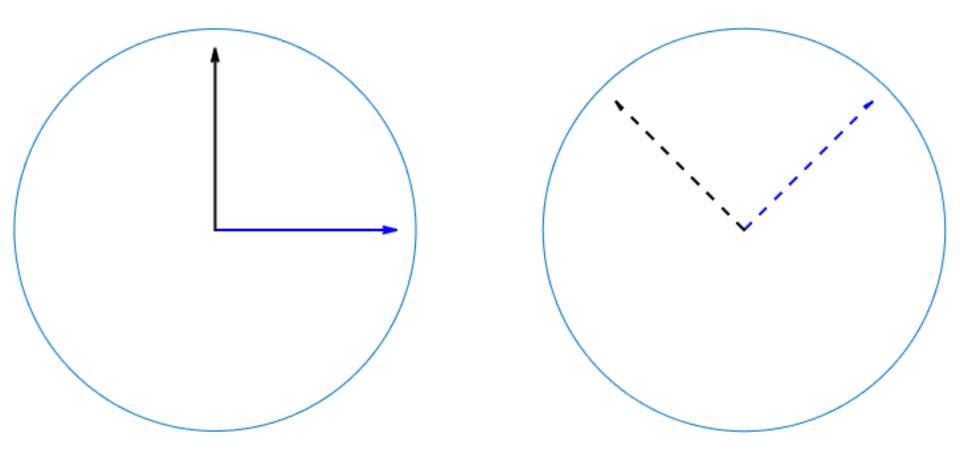
#### **Frame Homotopy Problem**

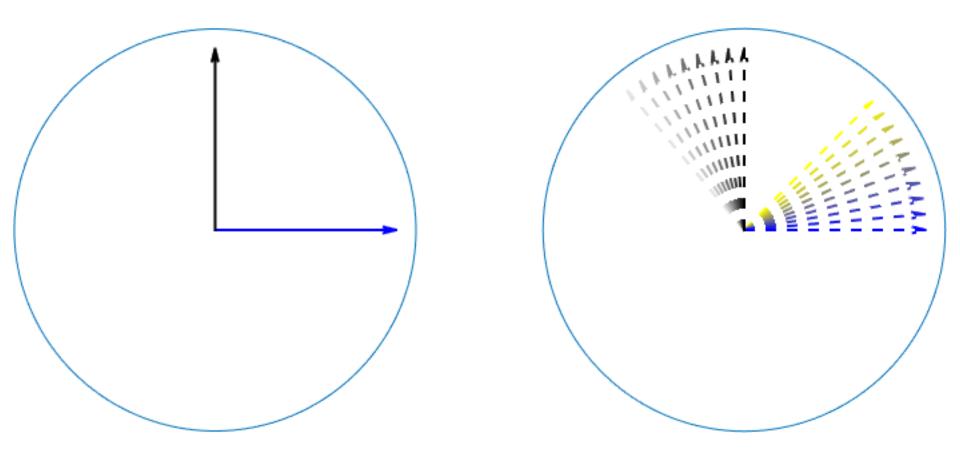
#### Find all pairs (N, d) such that $\mathcal{F}_{d,N}$ path-connected.

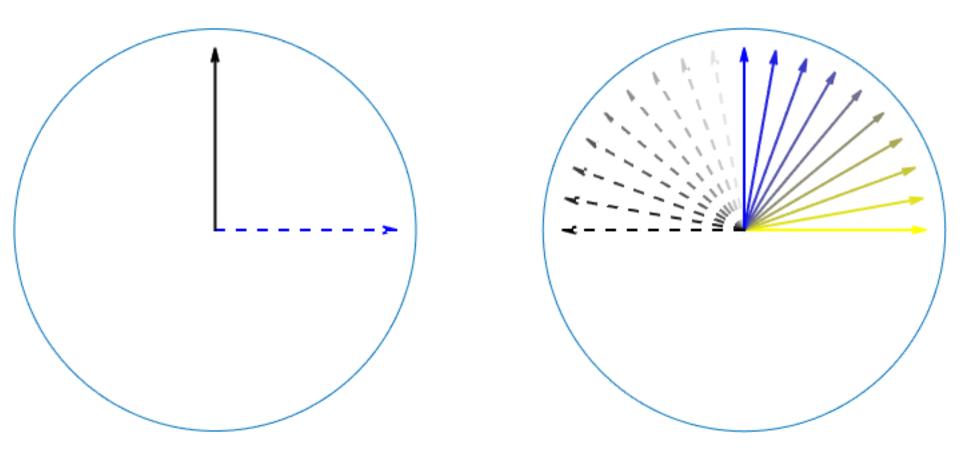
## Idea: Identify "Hubs" by Eigensteps and Connect

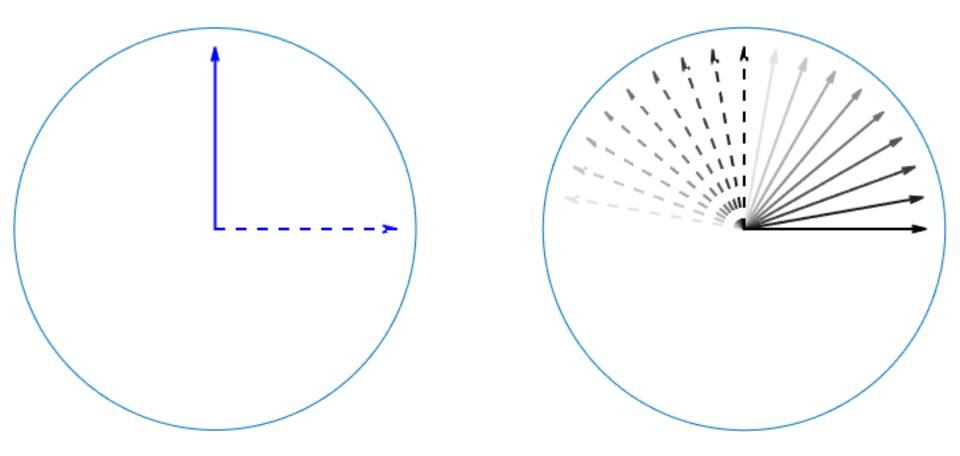
The "hub" for  $\mathcal{F}_{3,6}$  is the union of two orthonormal bases indicated by the eigensteps

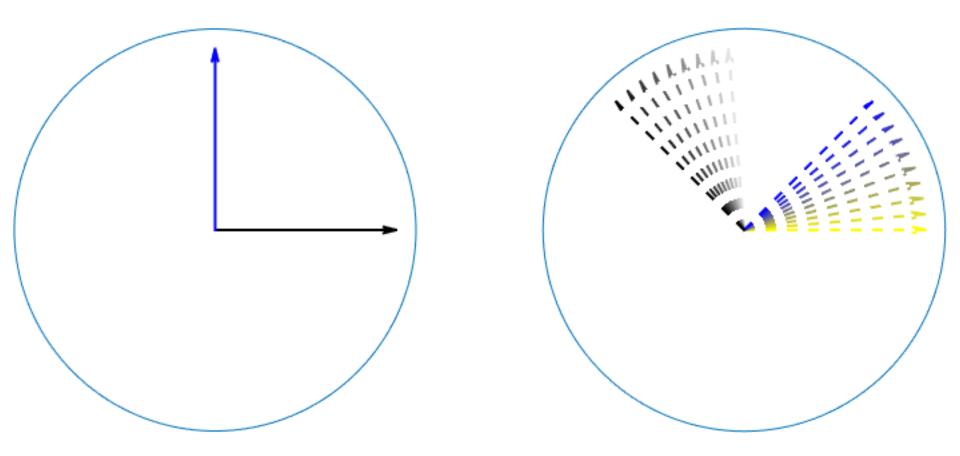
$$\begin{pmatrix} 1 & 1 & 1 & 2 & 2 & 2 \\ 0 & 1 & 1 & 1 & 2 & 2 \\ 0 & 0 & 1 & 1 & 1 & 2 \end{pmatrix}$$











# **Basic Question: Are FUNTF Varieties Irreducible?**

Proposition. Suppose V is an algebraic variety such that
(i) the set of non-singular points of V is path-connected, and
(ii) the set of non-singular points is dense in V.
Then V is an irreducible algebraic variety.

#### **Nonsingular Points are Dense**

**Proposition.** A frame  $F \in \mathcal{F}_{3,6}$  is orthodecomposable if and only if there are two distinct frame vectors  $f_i$  and  $f_j$  that are parallel.

*Proof.* If F is orthodecomposable, then there is a partition of F into  $F_1$  and  $F_2$  so that the linear spans of the vectors in  $F_1$  and  $F_2$  (denoted  $V_1$  and  $V_2$ ) are non-trivial orthogonal subspaces of  $\mathbb{R}^3$ . Consequently, either  $V_1$  or  $V_2$  has dimension equal to 1, and hence by the tight frame bound condition either  $F_1$  or  $F_2$  consists of two parallel vectors.

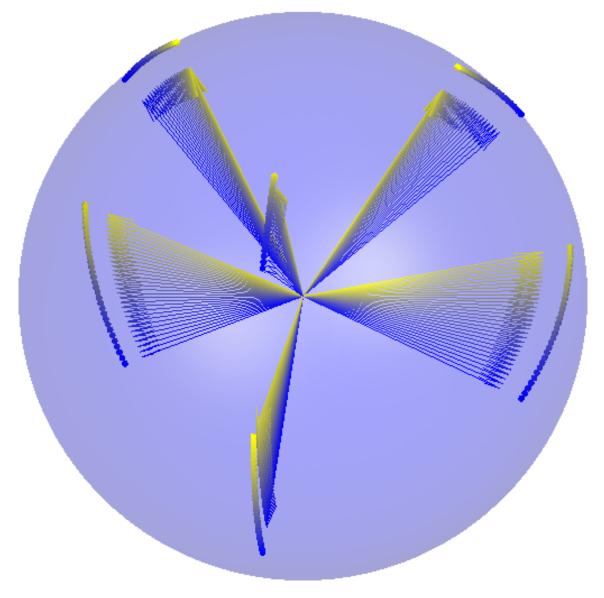
On the other hand, assuming that there are vectors  $f_i$  and  $f_j$  which are parallel, the tight frame bound condition requires that all other vectors in F are orthogonal to  $f_i$  and  $f_j$ . Consequently, Fis orthodecomposable.

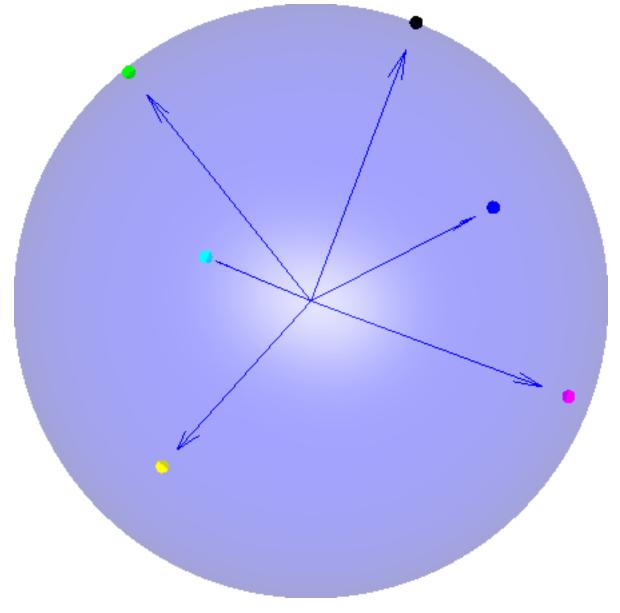
**Proposition.** If  $F \in \mathcal{F}_{3,6}$  is orthodecomposable, then it is a union of two orthonormal bases.

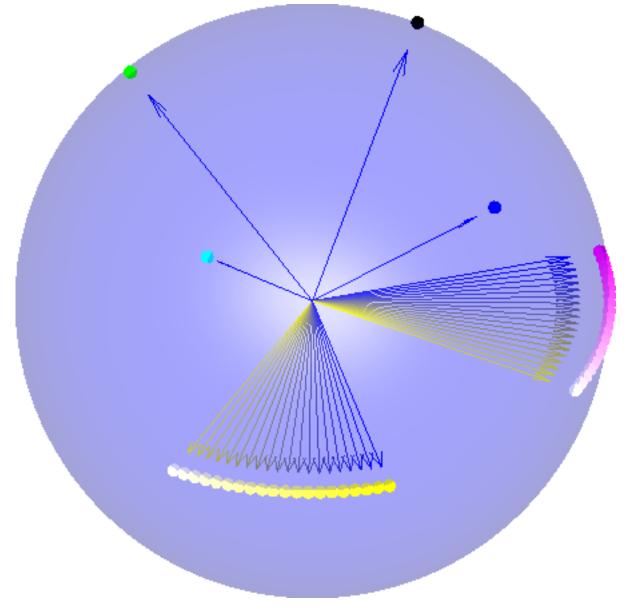
**Proposition.** The non-singular points of  $\mathcal{F}_{3,6}$  are dense in  $\mathcal{F}_{3,6}$ .

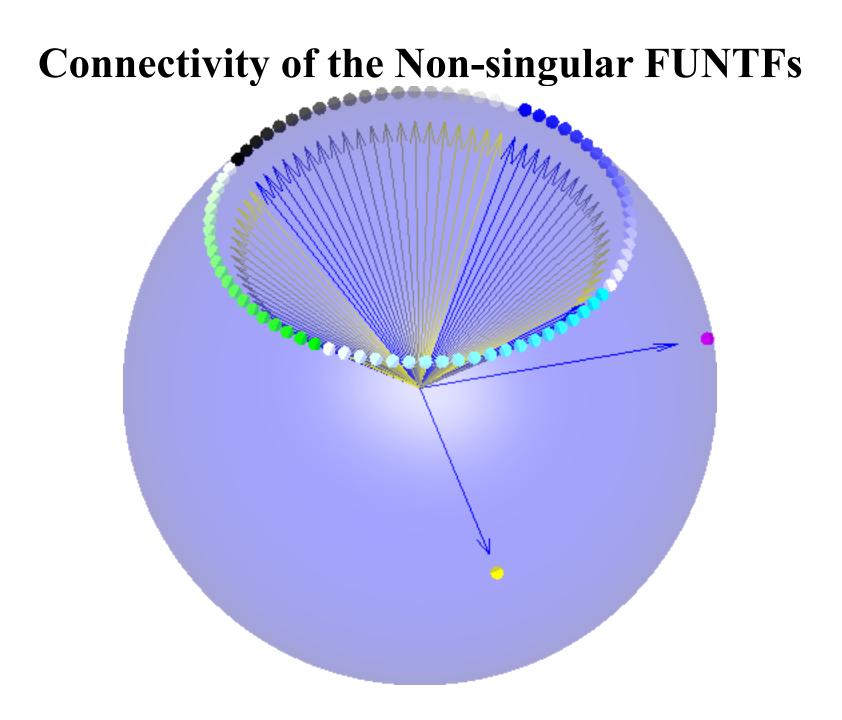
Connect to a frame with eigensteps

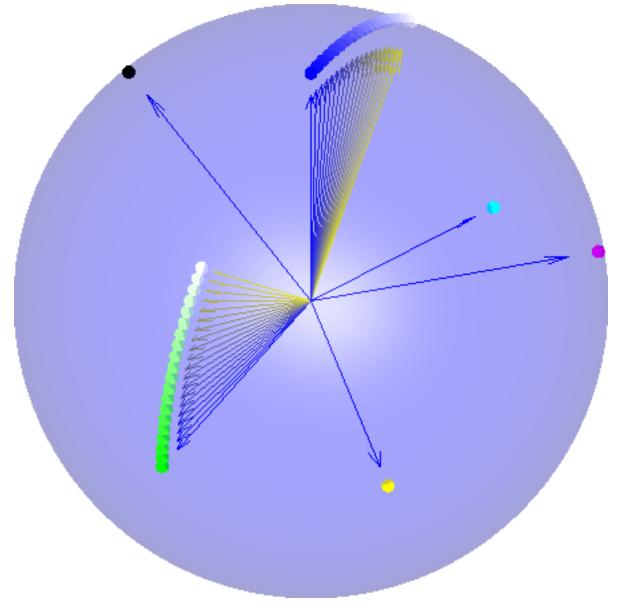
$$\begin{pmatrix} 1 & 3/2 & 3/2 & 2 & 2 \\ 0 & 1/2 & 3/2 & 3/2 & 2 & 2 \\ 0 & 0 & 0 & 1/2 & 1 & 2 \end{pmatrix}$$

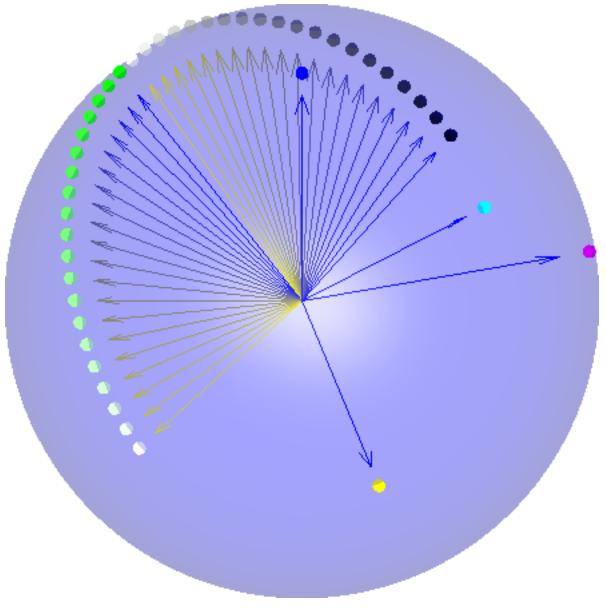


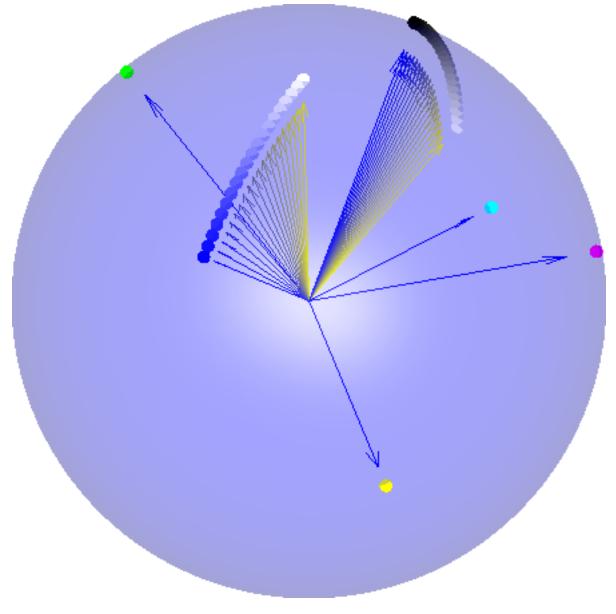












# **Open Problem: Describe the Local Geometry Around OD Frames**

## **Open Problem: Calculate blowups of the FUNTF Varieties**

# Open Problem: Are there any FUNTF Varieties such that All the Members are Permutation Equivalent to Frames which Map to the Interior of the Eigensteps Polytope?

## **Open Problem: Compute the fundamental groups of the FUNTF Varieties**

## **Open Problem: Construct the FUNTF** varieties as configuration spaces

# Set $\varphi(f) = ff^*$ and note that $\varphi(S)$ is diffeomorphic to $\mathbb{RP}^{d-1}$ .

FUNTFs correspond to chains in  $M_{d,d}$  from 0 to  $\frac{N}{d}I_d$  with links in  $\varphi(\mathcal{S}^{d-1})$ .